









# UNION KBC MUTUAL FUND

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PURSUANT TO REGULATION 59A OF SECURITIES & EXCHANGE BOARD OF INDIA (MUTUAL FUNDS) REGULATIONS, 1996

## UNAUDITED HALF YEARLY PORTFOLIO STATEMENT OF UNION KBC ASSET ALLOCATION FUND - MODERATE PLAN AS ON SEPTEMBER 30, 2014 (An Open-ended Hybrid Scheme)

Name of the Instrument	ISIN	Rating/ Industry	Quantity	Market value (₹ In lakhs)	% to NAV	Notes:
<b>EQUITY &amp; EQUITY RELATED</b> <b>a) Listed/awaiting listing on the stock exchanges</b> ICICI Bank Ltd. INE090A01013 Banks 6,975 99.99 3.06% Infosys Ltd. INE009A01021 Software 2,550 95.57 2.94% HDFC Bank Ltd. INE040A01026 Banks 10,700 93.37 2.88% Larsen & Toubro Ltd. INE018A01030 Construction Project 6,100 88.95 2.74% State Bank of India INE062A01012 Banks 3,500 85.60 2.64% WABCO India Ltd. INE342A01019 Auto Ancillaries 2,054 79.71 2.46% Nestle India Ltd. INE239A01016 Consumer Non Durables 1,325 79.08 2.44% Jagran Prakashan Ltd. INE199G01027 Media & Entertainment 50,229 62.64 1.93% Gujarat Mineral Development Corporation Ltd. INE131A01031 Minerals/Mining 41,000 59.37 1.83% MphasS Ltd. INE356A01018 Software 13,200 56.64 1.74% DFCO Ltd. INE043D01016 Finance 40,500 55.59 1.71% Dr. Reddy's Laboratories Ltd. INE089A01023 Pharmaceuticals 1,550 50.06 1.54% ITC Ltd. INE154A01025 Consumer Non Durables 12,400 45.90 1.41% National Aluminium Company Ltd. INE139A01034 Non-Ferrous Metals 75,000 43.24 1.33% Sun Pharmaceuticals Industries Ltd. INE044A01036 Pharmaceuticals 5,000 42.86 1.32% Reliance Industries Ltd. INE020A01018 Petroleum Products 4,000 37.83 1.17% <b>Total</b> <b>1,076.40</b> <b>33.16%</b>						
<b>b) Unlisted</b> <b>DEBT INSTRUMENTS</b> <b>a) Listed/awaiting listing on the stock exchanges</b> <b>Non Convertible Debentures and Bonds</b> Rural Electrification Corporation Ltd. INE020B08757 CRISIL AAA 50 503.80 15.52% Power Finance Corporation Ltd.** INE134E08EW2 CRISIL AAA 50 501.33 15.44% Small Industries Development Bank of India** INE556F03053 CRISIL AAA 10 100.04 3.08% <b>Total</b> <b>1,051.17</b> <b>34.04%</b>						
<b>b) Privately Placed/Unlisted</b> <b>c) Securitised Debt Instruments</b> <b>d) Government Securities</b> 08.12% CGL 2020 IN0020120054 SOVFEIGN 500,000 486.60 14.99% <b>Total</b> <b>486.60</b> <b>14.99%</b>						
<b>MONEY MARKET INSTRUMENTS</b> CBLI/Reverse Repo Investments 195.69 6.03% <b>Total</b> <b>195.69</b> <b>6.03%</b>						
<b>UNITS OF MUTUAL FUND</b> Goldman Sachs Gold Exchange Traded Scheme (GS Gold BeES) INF732E01102 Unrated 15,150 374.17 11.53% <b>Total</b> <b>374.17</b> <b>11.53%</b>						
<b>OTHERS</b> Other Current Assets / (Liabilities) 8.19 0.25% <b>Total</b> <b>8.19</b> <b>0.25%</b> <b>GRAND TOTAL</b> <b>3,246.22</b> <b>100.00%</b>						

\*\*Thinly traded/Non traded securities as defined in SEBI Regulations and Guidelines.

## UNAUDITED HALF YEARLY PORTFOLIO STATEMENT OF UNION KBC DYNAMIC BOND FUND AS ON SEPTEMBER 30, 2014 (An Open-ended Debt Scheme)

Name of the Instrument	ISIN	Rating	Quantity	Market value (₹ In lakhs)	% to NAV	Notes:
<b>DEBT INSTRUMENTS</b> <b>a) Listed/awaiting listing on the stock exchanges</b> <b>Non Convertible Debentures and Bonds</b> Exim Bank** INE514E08DE5 CRISIL AAA 50 509.09 9.02% Rural Electrification Corporation Ltd.** INE020B08773 CAPEAAA 50 502.24 8.90% Rural Electrification Corporation Ltd.** INE020B08815 CRISIL AAA 50 493.67 8.74% Power Finance Corporation Ltd.** INE134E07471 CRISIL AAA 15 150.79 2.67% <b>Total</b> <b>1,655.79</b> <b>29.33%</b>						
<b>b) Privately Placed/Unlisted</b> <b>c) Securitised Debt Instruments</b> <b>d) Government Securities</b> 08.60% CGL 2028 IN0020140011 SOVFEIGN 1,000,000 995.00 17.63% 08.28% CGL 2027 IN0020070069 SOVFEIGN 750,000 722.18 12.79% 08.40% CGL 2024 IN0020140045 SOVFEIGN 500,000 496.25 8.79% 08.35% CGL 2022 IN0020020072 SOVFEIGN 500,000 490.00 8.68% 08.12% CGL 2020 IN0020120054 SOVFEIGN 500,000 486.60 8.62% 07.80% CGL 2020 IN0020100015 SOVFEIGN 500,000 480.70 8.51% <b>Total</b> <b>3,670.73</b> <b>65.02%</b>						
<b>MONEY MARKET INSTRUMENTS</b> CBLI/Reverse Repo Investments 134.01 2.37% <b>Total</b> <b>134.01</b> <b>2.37%</b>						
<b>OTHERS</b> Other Current Assets / (Liabilities) 185.11 3.28% <b>Total</b> <b>185.11</b> <b>3.28%</b> <b>GRAND TOTAL</b> <b>5,645.64</b> <b>100.00%</b>						

\*\*Thinly traded/Non traded securities as defined in SEBI Regulations and Guidelines.

## UNAUDITED HALF YEARLY PORTFOLIO STATEMENT OF UNION KBC SMALL AND MIDCAP FUND AS ON SEPTEMBER 30, 2014 (An Open ended Equity Scheme)

Name of the Instrument	ISIN	Rating/ Industry	Quantity	Market value (₹ In lakhs)	% to NAV	Notes:
<b>EQUITY &amp; EQUITY RELATED</b> <b>a) Listed/awaiting listing on the Stock Exchanges</b> Credit Analysis And Research Ltd. INE752H01013 Finance 14,000 210.21 3.39% Mahindra & Mahindra Financial Services Ltd. INE774D01024 Finance 74,000 202.46 3.26% Mahindra CIE Automotive Ltd. INE536H01010 Industrial Products 90,034 192.09 3.10% Persistent Systems Ltd. INE262H01013 Software 13,500 189.62 3.05% DFCO Ltd. INE043D01016 Finance 133,000 182.54 2.93% The Federal Bank Ltd. INE171A01029 Banks 144,100 181.35 2.91% DCB Bank Ltd. INE503A01015 Banks 215,000 180.71 2.90% Sintex Industries Ltd. INE429C01035 Industrial Products 242,000 174.72 2.81% IPCA Laboratories Ltd. INE571A01020 Pharmaceuticals 22,000 174.23 2.80% Gujarat Mineral Development Corporation Ltd. INE131A01031 Minerals/Mining 118,000 170.86 2.74% WABCO India Ltd. INE342A01019 Auto Ancillaries 4,400 170.76 2.74% Tata Global Beverages Ltd. INE192A01025 Consumer Non Durables 93,000 148.20 2.38% The Great Eastern Shipping Company Ltd. INE017A01032 Transportation 33,553 144.90 2.33% D.B. Corp Ltd. INE950I01011 Media & Entertainment 39,809 140.84 2.28% VST Industries Ltd. INE710A01016 Consumer Non Durables 8,566 139.48 2.24% Bejaj Corp Ltd. INE933K01021 Consumer Non Durables 53,744 138.66 2.23% Mangalore Refinery and Petrochemicals Ltd. INE103A01014 Petroleum Products 220,000 135.41 2.18% Indian Bank INE562A01011 Banks 85,000 130.86 2.10% Indo Count Industries Ltd. INE483B01018 Textiles - Cotton 70,743 129.57 2.08% MphasS Ltd. INE356A01018 Software 30,000 128.73 2.07% Div's Laboratories Ltd. INE361B01024 Pharmaceuticals 7,100 127.82 2.05% The Phoenix Mills Ltd. INE211B01039 Construction 37,500 127.03 2.04% National Aluminium Company Ltd. INE139A01034 Non-Ferrous Metals 220,000 126.83 2.04% MOIL Ltd. INE490G01020 Minerals/Mining 43,500 124.50 2.00% Pidilite Industries Ltd. INE318A01026 Chemicals 30,000 119.13 1.91% Bayer Cropscience Ltd. INE462A01022 Pesticides 4,826 116.41 1.87% Suprajit Engineering Ltd. INE399C01030 Auto Ancillaries 88,318 113.67 1.83% Pappo Home Finance Ltd. INE612J01015 Finance 26,000 110.96 1.78% Ermani Ltd. INE548C01022 Consumer Non Durables 15,000 104.58 1.68% Manappuram Finance Ltd. INE522D01027 Finance 350,000 103.43 1.66% Kansal Nerolac Paints Ltd. INE531A01016 Consumer Non Durables 5,461 102.95 1.65% Trent Ltd. INE849A01012 Retailing 7,429 102.33 1.64% Sobha Developers Ltd. INE671H01015 Construction 25,151 101.62 1.63% Elecon Engineering Company Ltd. INE205B01023 Industrial Capital Goods 210,061 98.20 1.58% Supreme Industries Ltd. INE195A01028 Industrial Products 14,458 94.37 1.52% Jagran Prakashan Ltd. INE199G01027 Media & Entertainment 75,000 93.53 1.50% Strides ArcoLab Ltd. INE539A01011 Pharmaceuticals 13,000 92.85 1.49% Andhra Bank INE434A01013 Banks 135,000 87.95 1.41% Godrej Industries Ltd. INE233A01035 Consumer Non Durables 27,500 84.62 1.36% Oberoi Realty Ltd. INE0830I01010 Construction 36,000 78.48 1.26% Agro Tech Foods Ltd. INE209A01019 Consumer Non Durables 12,700 77.50 1.24% Indoco Remedies Ltd. INE873D01024 Pharmaceuticals 26,000 75.28 1.21% IL&FS Transportation Networks Ltd. INE975G01012 Transportation 42,271 74.19 1.19% IFGL Refractories Ltd. INE023B01012 Industrial Products 33,916 65.64 1.05% KEC International Ltd. INE389H01022 Construction Project 60,000 64.29 1.03% Repro India Ltd. INE461B01014 Media & Entertainment 23,200 59.02 0.95% JK Lakshmi Cement Ltd. INE786A01032 Cement 14,500 51.71 0.83% Gujarat Pipavav Port Ltd. INE517F01014 Transportation 28,000 47.47 0.78% Kewal Kiran Clothing Ltd. INE401H01017 Textile Products 2,500 46.08 0.74% TV18 Broadcast Ltd. INE886H01027 Media & Entertainment 150,000 41.78 0.67% Action Construction Equipment Ltd. INE731H01025 Industrial Capital Goods 90,000 29.79 0.48% <b>Total</b> <b>6,010.21</b> <b>96.55%</b>						
<b>b) Unlisted</b> <b>DEBT INSTRUMENTS</b> <b>a) Listed/awaiting listing on the stock exchanges</b> CBLI/Reverse Repo Investments 244.04 3.92% <b>Total</b> <b>244.04</b> <b>3.92%</b>						
<b>OTHERS</b> Other Current Assets / (Liabilities) (29.17) (0.47)% <b>Total</b> <b>(29.17)</b> <b>(0.47)%</b> <b>GRAND TOTAL</b> <b>6,225.08</b> <b>100.00%</b>						

\*\*Thinly traded/Non traded securities as defined in SEBI Regulations and Guidelines.





