

**Union Mutual Fund (formerly Union KBC Mutual Fund)  
Union Asset Management Company Private Limited  
(formerly Union KBC Asset Management Company Private Limited)  
– Investment Manager for Union Mutual Fund**



**Corporate Identity Number (CIN):** U65923MH2009PTC198201

**Registered Office:** Unit 802, 8<sup>th</sup> Floor, Tower 'A', Peninsula Business Park, Ganpatrao Kadam Marg, Lower Parel (West), Mumbai - 400013

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**NOTICE CUM ADDENDUM TO THE STATEMENT OF ADDITIONAL INFORMATION (SAI), SCHEME INFORMATION DOCUMENT (SID) AND KEY INFORMATION MEMORANDUM (KIM) OF ALL EXISTING SCHEMES OF UNION MUTUAL FUND**

**Timelines for Closure of financial accounts under Rule 114H (8) of the Income-tax Rules, 1962 under alternative procedure of Foreign Account Tax Compliance Act (FATCA)**

The Foreign Account Tax Compliance Act (FATCA) is a United States Federal Law, aimed at prevention of tax evasion by United States taxpayers through use of offshore accounts. The Government of India and the United States of America (USA) entered into an Inter-Governmental Agreement (IGA) to implement FATCA on August 31, 2015. Investors are requested to note that under the alternative procedure provided in Rule 114H(8) of the Income-tax Rules, 1962, the financial institutions were needed to obtain self-certification and carry out due diligence in respect of all individual and entity accounts opened from 1<sup>st</sup> July 2014 to 31<sup>st</sup> August 2015. Such self-certification and documentation was required to be obtained by the financial institutions by 31<sup>st</sup> August 2016, otherwise they were required to close the accounts and report the same if found to be a "reportable account" as per the prescribed due diligence procedure for pre-existing account.

In view of the difficulties highlighted by stakeholders in following the provision for "closure" of financial accounts, it was informed vide Press Release dated 31<sup>st</sup> August 2016 issued by Ministry of Finance, Government of India that the revised time line shall be notified in due course.

Investors are requested to note that Ministry of Finance, Government of India has vide its Press release dated April 11, 2017 informed the revised timelines for Self-certifications which is April 30, 2017. Investors who have not completed their self-certification are advised to complete their self-certification, on or before April 30, 2017 failing which Union Asset Management Company Private Limited ("the AMC") shall block / freeze the unremediated folio(s) and shall not process any transaction which is initiated by the Investor in such folio(s) unless self-certification is provided by the Investor and due diligence is completed by the AMC.

It may be noted that necessary/incidental changes, if any, shall be made in the SAI, SID and KIM of all the existing Schemes of Union Mutual Fund in the above regard.

The SAI, SID and KIM of all the Schemes of Union Mutual Fund will stand modified to the extent mentioned above.

This Addendum forms an integral part of the SAI, SID and KIM of the Schemes of Union Mutual Fund.

All other terms and conditions of the SAI, SID and KIM of the Schemes of Union Mutual Fund will remain unchanged.

**For Union Asset Management Company Private Limited**  
(Investment Manager for Union Mutual Fund)

Place: Mumbai  
Date: April 28, 2017

Sd/-  
**Authorised Signatory**

**MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.**

**Statutory Details: Constitution:** Union Mutual Fund has been set up as a Trust under the Indian Trusts Act, 1882; **Sponsor:** Union Bank of India; **Trustee:** Union Trustee Company Private Limited (formerly Union KBC Trustee Company Private Limited) [Corporate Identity Number (CIN): U65923MH2009PTC198198], a company incorporated under the Companies Act, 1956 with a limited liability; **Investment Manager:** Union Asset Management Company Private Limited (formerly Union KBC Asset Management Company Private Limited) [Corporate Identity Number (CIN): U65923MH2009PTC198201], a company incorporated under the Companies Act, 1956 with a limited liability.

Copy of all Scheme Related Documents can be obtained from any of our AMC offices/Customer Service Centres/distributors as well as from our website www.unionmf.com.