

Union Mutual Fund

Union Asset Management Company Private Limited

Investment Manager for Union Mutual Fund

Corporate Identity Number (CIN): U65923MH2009PTC198201

Registered Office: Unit 503, 5th Floor, Leela Business Park,
Andheri Kurla Road, Andheri (East), Mumbai - 400059

- Toll Free No. 1800 2002 268/1800 5722 268; • Non Toll Free. 022-67483333;
- Fax No: 022-67483401; • Website: www.unionmf.com; • Email: investorcare@unionmf.com



NOTICE CUM ADDENDUM TO THE SCHEME INFORMATION DOCUMENT (SID) AND KEY INFORMATION MEMORANDUM (KIM) OF ALL SCHEMES OF UNION MUTUAL FUND

Penalties, Pending litigation or proceedings, findings of inspections or investigations for which action may have been taken or is in the process of being taken by any regulatory authority:

Investors are requested to note that the existing point no. 2 of Section VI viz. "Penalties, Pending litigation or proceedings, findings of inspections or investigations for which action may have been taken or is in the process of being taken by any regulatory authority" in the SID of all the schemes of Union Mutual Fund be and are hereby modified to read as follows, with immediate effect:

"2. In case of Indian Sponsor(s), details of all monetary penalties imposed and/ or action taken during the last three years or pending with any financial regulatory body or governmental authority, against Sponsor(s) and/ or the AMC and/ or the Board of Trustee/ Trustee Company; for irregularities or for violations in the financial services sector, or for defaults with respect to shareholders or debenture holders and depositors, or for economic offences, or for violation of securities law. Details of settlement, if any, arrived at with the aforesaid authorities during the last three years shall also be disclosed.

During the financial year 2018-19, RBI imposed a penalty of ₹ 1.00 crore on Union Bank of India for delay in detection and reporting of fraud, ₹ 1.00 crore for delay in exchange of information regarding the conduct of the borrower's account with other lenders and ₹ 3.00 crore for delay in adherence to its directives on time bound implementation and strengthening of SWIFT related controls and ₹ 1.14 crore for currency chest deficiencies, which has been paid by the bank. During the year 2019-20, RBI imposed ₹ 21.39 lakh for currency chest deficiencies, which has been paid by the Bank. During the year 2020-21, RBI imposed ₹ 47.63 lakh for currency chest deficiencies, which has been paid by the Bank."

Further, it may be noted that necessary/incidental changes, if any, shall be made in the SID and KIM of all the Schemes in the above regard.

The SID and KIM of all the Schemes will stand modified to the extent mentioned above.

This Addendum forms an integral part of the SID and KIM of all the Schemes.

All other terms and conditions of the SID and KIM of the all Schemes of Union Mutual Fund will remain unchanged.

For Union Asset Management Company Private Limited
(Investment Manager for Union Mutual Fund)

Place: Mumbai

Date: April 28, 2021

Sd/-

Authorised Signatory

MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.

Statutory Details: Constitution: Union Mutual Fund has been set up as a Trust under the Indian Trusts Act, 1882; **Sponsors:** Union Bank of India and Dai-ichi Life Holdings, Inc; **Trustee:** Union Trustee Company Private Limited [Corporate Identity Number (CIN): U65923MH2009PTC198198], a company incorporated under the Companies Act, 1956 with a limited liability; **Investment Manager:** Union Asset Management Company Private Limited [Corporate Identity Number (CIN): U65923MH2009PTC198201], a company incorporated under the Companies Act, 1956 with a limited liability.

Copy of all Scheme Related Documents can be obtained from any of our AMC offices/Customer Service Centres/distributors as well as from our website www.unionmf.com