

**Union Mutual Fund (formerly Union KBC Mutual Fund)
Union Asset Management Company Private Limited
(formerly Union KBC Asset Management Company Private Limited)
Investment Manager for Union Mutual Fund**

Corporate Identity Number (CIN): U65923MH2009PTC198201

Registered Office: Unit 503, 5th Floor, Leela Business Park,
Andheri Kurla Road, Andheri (East), Mumbai - 400059

• Toll Free No. 18002002268; • Non Toll Free. 022-67483333; • Fax No: 022-67483401;

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NOTICE CUM ADDENDUM TO THE SCHEME INFORMATION DOCUMENT (SID) AND KEY INFORMATION MEMORANDUM (KIM) OF ALL EXISTING SCHEMES OF UNION MUTUAL FUND

Penalties, Pending litigation or proceedings, findings of inspections or investigations for which action may have been taken or is in the process of being taken by any regulatory authority:

Investors are requested to note that the existing point no. 2 of Section VI viz. "Penalties, Pending litigation or proceedings, findings of inspections or investigations for which action may have been taken or is in the process of being taken by any regulatory authority" in the SID of **all the existing schemes of Union Mutual Fund** be and are hereby modified to read as follows, with immediate effect:

"2. In case of Indian Sponsor(s), details of all monetary penalties imposed and/ or action taken during the last three years or pending with any financial regulatory body or governmental authority, against Sponsor(s) and/ or the AMC and/ or the Board of Trustee /Trustee Company; for irregularities or for violations in the financial services sector, or for defaults with respect to share holders or debenture holders and depositors, or for economic offences, or for violation of securities law. Details of settlement, if any, arrived at with the aforesaid authorities during the last three years shall also be disclosed.

RBI had imposed a penalty of ₹ 6.26 lakh during the financial year 2015-16 and ₹ 8.43 lakh during the financial year 2016-17 on Union Bank of India which pertained to currency chest related penalties, which has been paid by the Bank. During the financial year 2017-18, RBI had imposed a penalty of ₹ 409.81 lakh on Union Bank of India out of which ₹ 105.31 lakh pertained to currency chest deficiencies, ₹ 4.50 lakh pertained to bouncing of Subsidiary General Ledger and ₹ 300.00 lakh pertained to penalty relating to non-compliance of Know Your Customer (KYC) guidelines, which has been paid by the Bank. Further, in the month of February 2018, penal interest amount of ₹ 5.05 lakh has been imposed by the Central Board of Excise and Customs on Union Bank of India for delayed remittance of Custom/Excise/ Service Tax collected through the bank for the period 2011-12 to 2013-14, which has been paid by the Bank."

It may be noted that necessary/incidental changes, if any, shall be made in the SID and KIM of all the existing Schemes of Union Mutual Fund in the above regard.

The SID and KIM of all the Schemes of Union Mutual Fund will stand modified to the extent mentioned above.

This Addendum forms an integral part of the SID and KIM of the Schemes of Union Mutual Fund.

All other terms and conditions of the SID and KIM of the Schemes of Union Mutual Fund will remain unchanged.

For Union Asset Management Company Private Limited
(Investment Manager for Union Mutual Fund)

Place: Mumbai

Date: August 10, 2018

Sd/-

Authorised Signatory

MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.

Statutory Details: Constitution: Union Mutual Fund has been set up as a Trust under the Indian Trusts Act, 1882; **Sponsors:** Union Bank of India and Dai-ichi Life Holdings, Inc.; **Trustee:** Union Trustee Company Private Limited (formerly Union KBC Trustee Company Private Limited) [Corporate Identity Number (CIN): U65923MH2009PTC198198], a company incorporated under the Companies Act, 1956 with a limited liability; **Investment Manager:** Union Asset Management Company Private Limited (formerly Union KBC Asset Management Company Private Limited) [Corporate Identity Number (CIN): U65923MH2009PTC198201], a company incorporated under the Companies Act, 1956 with a limited liability.

Copy of all Scheme Related Documents can be obtained from any of our AMC offices/ Customer Service Centres/ distributors as well as from our website www.unionmf.com.