

## Union Mutual Fund

### Union Asset Management Company Private Limited

#### Investment Manager for Union Mutual Fund

Corporate Identity Number (CIN): U65923MH2009PTC198201

Registered Office: Unit 503, 5<sup>th</sup> Floor, Leela Business Park,  
Andheri Kurla Road, Andheri (East), Mumbai - 400059

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#### **NOTICE CUM ADDENDUM TO THE SCHEME INFORMATION DOCUMENT (SID) AND KEY INFORMATION MEMORANDUM (KIM) OF DESIGNATED SCHEMES OF UNION MUTUAL FUND**

**NOTICE** is hereby given to the unit holders that the following changes are carried out in the Scheme Information Document (SID) and Key Information Memorandum (KIM) of designated schemes of Union Mutual Fund as stated below:

**1. Change in address of Customer Service Center and Official Point of Acceptance of Computer Age Management Services ("CAMS"):**

Investors are requested to take note of the change in the address of the below mentioned Customer Service Center and Official Point of Acceptance of CAMS, applicable to **all the Schemes ^ of Union Mutual Fund**, with effect from **February 15, 2020**:

Centre	Old Address	New Address
Kollam	Kochupilamoodu Junction, Near VLC, Beach Road, Kollam, Kerala - 691 001.	Uthram Chambers (Ground Floor) Thamarakulam, Kollam - 691 006.

**2. Change in relation to the section on Provision for creation of Segregated Portfolio:**

Pursuant to the SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2019/127 dated November 07, 2019, the following provisions are hereby inserted under the point 'Segregated Portfolio', under sub section A 'New Fund Offer', under Section III 'Units and Offer' in the SID of all the Schemes ^ of Union Mutual Fund where the SID of such Schemes has provisions for creation of Segregated Portfolio:

*"Further, as per SEBI circular SEBI/HO/IMD/DF2/CIR/P/2019/127 dated Nov 7, 2019, SEBI has permitted creation of segregated portfolio of unrated debt or money market instruments by mutual fund schemes of an issuer that does not have any outstanding rated debt or money market instruments, subject to the following:*

- Segregated portfolio of such unrated debt or money market instruments may be created only in case of actual default of either the interest or principal amount. As per SEBI circular dated December 28, 2018, credit event is considered for creation of segregated portfolio, however for the purpose of the aforesaid circular dated November 7, 2019, 'actual default' by the issuer of such instruments shall be considered for creation of segregated portfolio.*
- AMCs shall inform AMFI immediately about the actual default by the issuer. Upon being informed about the default, AMFI shall immediately inform the same to all AMCs. Pursuant to dissemination of information by AMFI about actual default by the issuer, AMCs may segregate the portfolio."*

**3. Change in the section on prudential limits for group exposure:**

Pursuant to the SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2019/104 dated October 01, 2019, the following provisions are hereby inserted under the existing provisions relating to prudential limits for group exposure under sub section I 'What are the Investment Restrictions?', under section II 'Information about the Scheme' in the SIDs all the existing debt oriented schemes of Union Mutual Fund:

*"The investments by the Scheme in debt and money market instruments of group companies of both the sponsor and the AMC shall not exceed 10% of the net assets of the Scheme. Such investment limit may be extended to 15% of the net assets of the Scheme with the prior approval of the Board of Trustees. For the purpose of this provision, 'Group' shall have the same meaning as defined in paragraph B(3)(b) of SEBI Circular No. SEBI/HO/IMD/DF2/CIR/P/2016/35 dated February 15, 2016."*

The above changes, wherever applicable, are hereby also carried out in the KIM of the aforementioned Schemes of Union Mutual Fund. Further, it may be noted that necessary/incidental changes, if any, shall be made in the SID and KIM of aforementioned Schemes of Union Mutual Fund.

The SID and KIM of the designated Schemes of Union Mutual Fund will stand modified to the extent mentioned above.

This Addendum forms an integral part of the SID and KIM of the designated Schemes of Union Mutual Fund.

All other terms and conditions of the SID and KIM of the designated Schemes of Union Mutual Fund will remain unchanged.

**For Union Asset Management Company Private Limited**  
(Investment Manager for Union Mutual Fund)

Place: Mumbai

Date: February 14, 2020

^ including Union Midcap Fund

Sd/-

**Authorised Signatory**

#### **MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.**

**Statutory Details: Constitution:** Union Mutual Fund has been set up as a Trust under the Indian Trusts Act, 1882; **Sponsors:** Union Bank of India and Dai-ichi Life Holdings, Inc; **Trustee:** Union Trustee Company Private Limited [Corporate Identity Number (CIN): U65923MH2009PTC198198], a company incorporated under the Companies Act, 1956 with a limited liability; **Investment Manager:** Union Asset Management Company Private Limited [Corporate Identity Number (CIN): U65923MH2009PTC198201], a company incorporated under the Companies Act, 1956 with a limited liability.

Copy of all Scheme Related Documents can be obtained from any of our AMC offices/Customer Service Centres/distributors as well as from our website www.unionmf.com.