

# Union

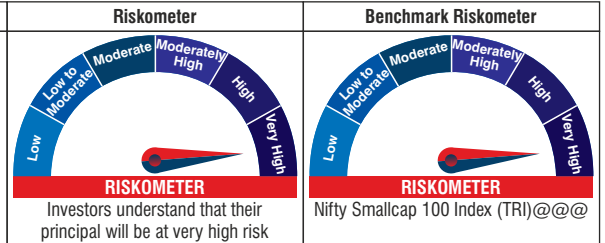
## SMALL CAP FUND

(Small Cap Fund - An Open Ended Equity Scheme predominantly investing in Small Cap stocks)

Data as on August 31, 2021  
(Unless otherwise stated)

This product is suitable for investors who are seeking\*:

- Long Term Capital Appreciation
- Investment predominantly in Equity and Equity related portfolio of small cap companies



\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

### Fund Details

#### Investment Objective

The investment objective of the scheme is to achieve long term capital appreciation by investing in a portfolio consisting of equity and equity related securities, predominantly of small cap companies. However, there is no assurance that the Investment Objective of the scheme will be achieved.

#### Co-Fund Managers

##### Vinay Paharia

Over 19 years of experience in Fund Management & Equities. Managing this Scheme from April 2, 2018.

##### Hardick Bora

Over 13 years of experience in the financial services sector. Managing this Scheme since October 25, 2016.

#### Indicative Investment Horizon

Long Term

#### Date of allotment

10 June 2014

#### Assets Under Management

As on 31st August, 2021 : ₹ 552.55 crore

Average for August 2021 : ₹ 545.60 crore

#### Benchmark Index<sup>\*\*\*</sup>

Nifty Smallcap 100 Index (TRI)

**\*\*\*Benchmark Nifty Smallcap 100 Index disclaimer:** The "Product" offered by "the issuer" is not sponsored, endorsed, sold or promoted by NSE Indices Limited (formerly known as India Index Services & Products Limited). NSE Indices Limited does not make any representation or warranty, express or implied (including warranties of merchantability or fitness for particular purpose or use) and disclaims all liability to the owners of "the Product" or any member of the public regarding the advisability of investing in securities generally or in the "the Product" linked to Nifty Smallcap 100 Index or particularly in the ability of the Nifty Smallcap 100 Index, to track general stock market performance in India. Please read the full Disclaimers in relation to the Nifty Smallcap 100 Index in the Scheme Information Document.

#### Expense Ratio as on August 31, 2021

Direct Plan : 1.72%

Regular Plan : 2.54%

The AMC reserves the right to change the expense ratio within the limits prescribed in the SID. Includes additional expenses if, any and GST.

#### Load Structure

Entry Load: Nil

Exit Load:

1% if units are redeemed or switched out on or before completion of 15 days from the date of allotment. Nil thereafter.

#### Active Stock Position in Scheme

##### Portfolio vis-à-vis fund benchmark

Top 5 Overweight	Top 5 Underweight
KNR Constructions Ltd	Indian Energy Exchange Ltd
KPIT Technologies Ltd	APL Apollo Tubes Ltd
Minda Industries Ltd	Central Depository Services India Ltd
Ramco Cements Ltd	Kajaria Ceramics Ltd
V-Mart Retail Ltd	Carborundum Universal Ltd

### Portfolio

Industry/Company/Issuer	% to Net Assets
<b>Equity Shares</b>	<b>96.28%</b>
<b>SOFTWARE</b>	<b>13.04%</b>
✓ Happiest Minds Technologies Ltd.	3.38%
✓ KPIT Technologies Ltd.	3.00%
Coforge Ltd.	2.14%
Intellect Design Arena Ltd.	1.78%
Persistent Systems Ltd.	1.46%
Route Mobile Ltd.	1.29%
<b>CHEMICALS</b>	<b>9.03%</b>
Neogen Chemicals Ltd.	2.12%
Navin Fluorine Int. Ltd.	2.06%
Galaxy Surfactants Ltd.	1.83%
Rossari Biotech Ltd.	1.71%
Fine Organic Industries Ltd.	1.30%
<b>CONSUMER DURABLES</b>	<b>7.55%</b>
✓ Orient Electric Ltd.	2.38%
Dixon Technologies (India) Ltd.	1.97%
Safari Industries (India) Ltd.	1.71%
Cera Sanitaryware Ltd.	1.49%
<b>PHARMACEUTICALS</b>	<b>7.11%</b>
JB Chemicals & Pharmaceuticals Ltd.	2.25%
Eris Lifesciences Ltd.	1.88%
Laurus Labs Ltd.	1.85%
Sequent Scientific Ltd.	1.13%
<b>AUTO ANCILLARIES</b>	<b>7.09%</b>
✓ Minda Industries Ltd.	2.99%
Tube Investments of India Ltd.	1.89%
Sona Blw Precision Forgings Ltd.	1.30%
WABCO India Ltd.	0.90%
<b>FINANCE</b>	<b>6.17%</b>
Can Fin Homes Ltd.	2.18%
Credit Access Grameen Ltd.	2.15%
Home First Finance Company India Ltd.	1.85%
<b>CONSUMER NON DURABLES</b>	<b>5.11%</b>
✓ Tasty Bite Eatables Ltd.	2.76%
Varun Beverages Ltd.	1.53%
3M India Ltd.	0.82%
<b>CONSTRUCTION</b>	<b>5.01%</b>
✓ KNR Constructions Ltd.	3.25%
Prestige Estates Projects Ltd.	1.76%
<b>RETAILING</b>	<b>4.88%</b>
✓ V-Mart Retail Ltd.	2.82%
Indiamart Internesh Ltd.	2.06%
<b>HEALTHCARE SERVICES</b>	<b>3.97%</b>
Thyrocare Technologies Ltd.	1.85%
Syngene International Ltd.	1.27%
Krishna Institute Of Medical Sciences Ltd.	0.85%
<b>TRANSPORTATION</b>	<b>3.82%</b>
✓ Mahindra Logistics Ltd.	2.64%
TCI Express Ltd.	1.18%
<b>INDUSTRIAL PRODUCTS</b>	<b>3.40%</b>
Greaves Cotton Ltd.	2.29%
Polycab India Ltd.	1.12%
<b>CONSTRUCTION PROJECT</b>	<b>2.89%</b>
H.G. Infra Engineering Ltd.	1.62%
Techno Electric & Engineering Co. Ltd.	1.27%
<b>CEMENT &amp; CEMENT PRODUCTS</b>	<b>2.88%</b>
✓ The Ramco Cements Ltd.	2.88%
<b>BANKS</b>	<b>2.84%</b>
✓ CSB Bank Ltd.	2.84%

### Portfolio

Industry/Company/Issuer	% to Net Assets
<b>COMMERCIAL SERVICES</b>	<b>2.05%</b>
TeamLease Services Ltd.	2.05%
<b>TEXTILE PRODUCTS</b>	<b>1.97%</b>
K.P.R. Mill Ltd.	1.97%
<b>ENTERTAINMENT</b>	<b>1.91%</b>
SAREGAMA India Ltd.	1.16%
Nazara Technologies Ltd.	0.75%
<b>INDUSTRIAL CAPITAL GOODS</b>	<b>1.88%</b>
ABB Power Products & Systems India Ltd.	1.88%
<b>LEISURE SERVICES</b>	<b>1.45%</b>
Wonderla Holidays Ltd.	1.45%
<b>PESTICIDES</b>	<b>1.18%</b>
Sumitomo Chemical India Ltd.	1.18%
<b>FERROUS METALS</b>	<b>1.04%</b>
Tata Steel Long Products Ltd.	1.04%
<b>TREASURY BILLS</b>	<b>0.07%</b>
<b>SOVEREIGN</b>	<b>0.07%</b>
91 DAY T-BILL	0.07%
<b>Triparty Repo, Cash, Cash Equivalents &amp; Net Current Assets</b>	<b>3.65%</b>
<b>Grand Total</b>	<b>100.00%</b>

✓ Indicates Top 10 Holdings

This is the current asset allocation, which may change in future. However, any such change would be in accordance with the provisions of the Scheme Information Document of the Scheme.

### Market Cap as % of net assets

Market Cap Category	Union Small Cap Fund	Nifty Smallcap 100 Index (TRI) <sup>***</sup>
Mid Cap	27.41%	10.93%
Small Cap	68.87%	89.07%
Top 10 Holdings	28.96%	23.68%
No. of Stocks	52	100
Wtd Avg Market Cap of stocks in portfolio (Cr)	₹ 12,556	₹ 10,910

### Quantitative Indicators - Growth Option

Std. Deviation	Sharpe Ratio	Portfolio Beta	Portfolio Turnover Ratio <sup>SSS</sup>
26.46%	0.65	0.75	0.82 times

Risk Free Rate based on the overnight Mumbai Inter-Bank Offer Rate (MIBOR) as on August 31, 2021 : 3.38%.

<sup>SSS</sup>Lower of sales or purchases divided by average AUM for last rolling 12 months.

## MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.

**Statutory Details:** **Constitution:** Union Mutual Fund has been set up as a Trust under the Indian Trusts Act, 1882; **Sponsors:** Union Bank of India and Dai-ichi Life Holdings, Inc.; **Trustee:** Union Trustee Company Private Limited, [Corporate Identity Number (CIN): U65923MH2009PTC198198], a company incorporated under the Companies Act, 1956 with a limited liability; **Investment Manager:** Union Asset Management Company Private Limited, [Corporate Identity Number (CIN): U65923MH2009PTC198201], a company incorporated under the Companies Act, 1956 with a limited liability. Registered Office: Unit 503, 5th Floor, Leela Business Park, Andheri Kurla Road, Andheri (East), Mumbai - 400059. Toll Free No. 1800 2002 268/1800 5722 268 • Non Toll Free. 022-67483333 • Fax No: 022-67483401 • Website: www.unionmf.com • Email: investorcare@unionmf.com