

**Union Mutual Fund (formerly Union KBC Mutual Fund)  
 Union Asset Management Company Private Limited  
 (formerly Union KBC Asset Management Company Private Limited)  
 Investment Manager for Union Mutual Fund**

**Corporate Identity Number (CIN):** U65923MH2009PTC198201

**Registered Office:** Unit 503, 5<sup>th</sup> Floor, Leela Business Park,

Andheri Kurla Road, Andheri (East), Mumbai - 400059

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**NOTICE TO ALL UNITHOLDERS**

**Result of Postal Ballot**

Union Asset Management Company Private Limited, Investment Manager to **Union Mutual Fund ("Union MF")** had, vide **Notice (advertisement) dated April 02, 2018** and **written communication dated April 02, 2018**, informed Unit holders of the schemes of Union MF that, pursuant to the Investment and Subscription Agreement between **Union Bank of India, Dai-ichi Life Holdings, Inc. ("Dai-ichi Life")** and **Union Asset Management Company Private Limited ("the AMC")** and pursuant to receipt of requisite regulatory approvals, Dai-ichi Life would invest up to 39.62% of the post issue share capital of the AMC, on a fully diluted basis, subject to relevant terms and conditions. Pursuant to this investment, Dai-ichi Life will be holding more than 40% of the networth of the AMC. Consequently, Union Bank of India and Dai-ichi Life will become Co-sponsors of Union Mutual Fund.

In this context, in order to make necessary amendments to the Trust Deed dated December 01, 2010, as amended from time to time, constituting Union MF (the "**Trust Deed**"), approval of all the Unit holders of the schemes of Union MF, as per the records of Computer Age Management Services Private Limited ("**Registrar**"), as at the end of day on March 31, 2018 and also all the Unit holders of the schemes of Union MF who have subscribed to the schemes after March 31, 2018 till April 02, 2018, was sought through postal ballot. Unit holders were requested to send the duly completed Postal Ballot Forms to the Registrar on or before the close of business hours on May 02, 2018. Further, it was stated that if Unit holders do not send the signed and duly filled-in ballot papers so as to reach the Registrar on or before close of business hours on May 02, 2018, it would be treated as the Unit holder's consent for the proposed amendment of the Trust Deed and would be counted as a vote in favour of the proposal.

Unit holders are informed that **B. Ravi & Associates**, a firm of Practicing Company Secretaries based at Chennai, were appointed as the independent third party under whose presence and supervision the counting of the postal ballot forms was conducted.

On the basis of the Report dated May 09, 2018 submitted by B. Ravi & Associates and in accordance with the disclosures made to the Unit holders in the aforementioned written communication dated April 02, 2018, the details of the postal ballot are as follows:

**Resolution -** Approval for the Proposed Amendment in the Trust Deed as given in the aforesaid written communication dated April 02, 2018.

- Total outstanding units eligible for voting i.e. units as at the end of day on March 31<sup>st</sup> 2018 and also the units held by the unit holders of the Schemes of Union MF who have subscribed to the Schemes after 31.03.2018 till April 02, 2018 being the date of the letter seeking approval from unit holders for amendment of the Trust deed through postal ballot: 2,33,51,56,652.643.
- Result of the postal ballot:

S. No.	Particulars	No. of Postal Ballot Forms	No. of Units/Votes	% of Votes
1.	Total Postal Ballots / Votes Received during the voting period	98*	6,35,415.447	-
2.	Invalid Ballot Forms/Votes	30	66,704.041	-
3.	Duplicate ballot forms/Votes	2	30,000.000	-
4.	<b>Total Valid Postal Ballot Forms (1) - (2) - (3)</b>	<b>66</b>	<b>5,38,711.406</b>	<b>100.00%</b>
5.	Postal Ballot Forms / Votes in Favour of the proposed Amendment (assent to the proposed Amendment)	57	4,71,621.966	87.55%
6.	Postal Ballot Forms / Votes Against the proposed Amendment (dissent to the proposed Amendment)	9	67,089.440	12.45%

\*Total number of ballot forms received was 96 of which 2 forms had multiple folios. Hence, total count folio wise stated as 98.

Percentage of the total valid votes cast in favour of the resolution: **87.55%**

Percentage of the total valid votes cast against the resolution: **12.45%**

Note: If postal ballot forms which did not reach the Registrar on or before close of business hours on May 02, 2018, are considered and counted as vote in favour of the proposed amendment, as mentioned in the aforesaid written communication dated April 02, 2018, then the percentage of the votes cast in favour of the resolution would increase to **99.99%**.

As more than 50% (fifty percent) of the valid ballots received have voted in favour of the above mentioned resolution, the proposed Deed of Amendment, as per the aforementioned written communication dated April 02, 2018, is hereby declared as duly approved by requisite majority.

**For Union Asset Management Company Private Limited**  
 (Investment Manager for Union Mutual Fund)

Place: Mumbai  
 Date: May 10, 2018

Sd/-  
**Authorised Signatory**

**MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.**

**Statutory Details: Constitution:** Union Mutual Fund has been set up as a Trust under the Indian Trusts Act, 1882; **Sponsor:** Union Bank of India; **Trustee:** Union Trustee Company Private Limited [Corporate Identity Number (CIN): U65923MH2009PTC198198], a company incorporated under the Companies Act, 1956 with a limited liability; **Investment Manager:** Union Asset Management Company Private Limited [Corporate Identity Number (CIN): U65923MH2009PTC198201], a company incorporated under the Companies Act, 1956 with a limited liability.

Copy of all Scheme Related Documents can be obtained from any of our AMC offices/ Customer Service Centres/ distributors as well as from our website www.unionmf.com.